

Disordered electronic systems. III. Thermoelectric power in alloys with phase separation

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Applying effective medium theory and the Boltzmann transport equation, a formula is derived for calculation of the Seebeck coefficient α (thermoelectric power) of alloys with phase separation (composite) under especial consideration of the electrochemical potential μ and its change with temperature T , $d\mu/dT$. $d\mu/dT$ is essentially determined by the electronic structure, carrier densities, and electron-electron-interaction in the phases. For *metal-metal* composites and *metal-insulator* composites, α can be calculated approximately by $\sum_i v_i (\sigma_i / \alpha_i - \sigma / \alpha) / (\sigma_i / \alpha_i + 2\sigma / \alpha) \approx 0$ and $\alpha \approx \alpha_A$, respectively, where σ is the specific electrical conductivity of the composite. σ_i , α_i , and v_i are the specific electrical conductivity, the Seebeck coefficient, and the volume fraction, respectively, of the phase i ($i=A, B$). Both σ_i and α_i depend on concentration caused by the condition of a common electrochemical potential in the composite. The α formulas derived can also be applied to composites with *strong scattering*. α vs x is calculated for $a\text{-Cr}_{1-x}\text{Si}_x$ alloys and compared with experimental data.

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I. INTRODUCTION

The purpose of the present paper is the derivation of an equation for the thermoelectric power (Seebeck coefficient) in alloys with phase separation (composites). In the scientific literature there exist already formulas for the Seebeck coefficient for composites,¹⁻¹³ mostly derived applying effective medium theory (EMT), where, however, the electrochemical potential and its change with temperature and concentration have generally not been considered.

The basis for the present paper is the *alternative concept* developed in the first and second parts of the present paper series [called paper I (Ref. 14) and paper II (Ref. 15) in the following]. Essential elements of this *alternative concept* are the conclusions (i)–(iii) (summarized in paper II, Sec. I therein) and the alternative interpretation of the *Ioffe-Regel criterion* (described in paper II, Sec. IV B therein). This *alternative concept* includes the fact that the scattering within a phase is elastic and the concepts of Fermi surface and effective mass can be applied to each of the phases, even for the case of *strong scattering*, as long as $v_i > 1/3$ (spherical phase grains) and

$$k_{F,i} L_i > c^*, \quad (1)$$

with $c^* = 1/4$. L_i , $k_{F,i}$, and v_i are the (elastic) mean free path, the wave number at the Fermi surface, and the volume fraction, respectively, of the phase i . Under these conditions the relaxation time approximation of the Boltzmann transport equation¹⁶⁻²³ (BTE) can be applied to each of the phases of a composite, where additionally the boundary condition of a common electrochemical potential μ in the composite is to be taken into account.

Starting with the kinetic transport equations (the BTE's) for a single phase, in Sec. II A the formulas for the *local* electric and heat current densities in the phases will be written down, which are the basis for the EMT equation for α to be derived in Sec. II B.

Under especial consideration of the electrochemical potential μ and its change with temperature T , $d\mu/dT$, in Sec. III this EMT equation will be applied for the calculation of $\alpha(v_B)$ for important special cases as *metal-metal* composites, *metal-insulator* composites, and *metal-semiconductor* composites. Section IV A is concerned with the contribution of the electron-electron interaction to $d\mu/dT$, and the result will be applied in Sec. IV B for calculation of α vs x for $a\text{-Cr}_{1-x}\text{Si}_x$ alloys. In Sec. V the results are summarized.

In Appendix A details of the derivation for the EMT equation for α are considered, and in Appendix B the connection between Eq. (1) and the *metal-insulator transition* in disordered alloys is considered.

II. TRANSPORT THEORY FOR ALLOYS WITH PHASE SEPARATION

A. Kinetic transport equations

Before reading Sec. II A it is helpful to read Sec. III A of paper II.

Let us consider a *composite* consisting of the phases $i = A, B$ in a symmetrical fashion regarding the average geometric form of the phase grains and without preferred orientations. Let us assume that each phase i can be characterized by a set of transport coefficients σ_i , α_i , and $\kappa_{e,i}$, which are the specific electrical conductivity, the Seebeck coefficient, and the electronic contribution to the specific thermal conductivity, respectively, in the phase i . The corresponding transport coefficients of the *composite*, σ , α , and κ_e , are to be calculated, if the σ_i , α_i , and $\kappa_{e,i}$ are known. The discussion will be restricted to small and constant electric field \mathbf{E} , small temperature gradients, and zero magnetic field.

Applying the kinetic transport equations for electrons in *homogeneous materials*¹⁶⁻²³ to each of the phases separately, the *local* electric current density J_i , the *local* heat current density $J_{Q,i}$, and σ_i , α_i , and $\kappa_{e,i}$ can be written

$$J_i = e_i^2 K_{1,i} \mathbf{E}_i + |e| K_{1,i} T \text{grad} \frac{\mu_i^0}{T} + |e| K_{2,i} \frac{\text{grad} T}{T}, \quad (2)$$

$$J_{Q,i} = -|e|K_{2,i}\mathbf{E}_i - K_{2,i}T \text{grad} \frac{\mu_i^0}{T} - K_{3,i} \frac{\text{grad} T}{T}, \quad (3)$$

$$\sigma_i = e_i^2 K_{1,i}, \quad (4)$$

$$\alpha_i = \frac{K_{2,i}/K_{1,i} - \mu_i^0}{e_i T}, \quad (5)$$

$$\kappa_{e,i} = \frac{K_{3,i} - K_{2,i}^2/K_{1,i}}{T}, \quad (6)$$

where $K_{r,i}$ are the transport integrals defined by

$$K_{r,i} = -\frac{4}{3m_i} \int E^r \tau_i \frac{\partial f_i^0(E,T)}{\partial E} N_i(E) dE. \quad (7)$$

$f_i^0(E,T)$ is the Fermi-Dirac distribution function,

$$f_i^0(E,T) = \frac{1}{1 + \frac{E - \mu_i^0}{k_B T}}, \quad (8)$$

with μ_i^0 , the *chemical potential* in the phase i ,

$$\mu_i^0 = E_{F,i} - \frac{\pi^2 k_B^2 T^2}{6} \frac{d}{dE} [\ln N_i(E)]_{E=E_{F,i}} = E_{F,i} - \frac{\pi^2 k_B^2 T^2}{12 E_{F,i}} \quad (9)$$

(lowest order in powers of $k_B T/E_{F,i}$). The second equation in Eq. (9) corresponds to nearly free electrons (NFE approximation). k_B is the Boltzmann constant, T the temperature, and E the energy. $|e_i| = |e|$ is the elementary charge, \mathbf{E}_i the electric field, $N_i(E)$ the density of states, m_i the effective mass, τ_i the relaxation time of the carriers, and $E_{F,i}$ the Fermi energy in the phase i .

We introduce the ‘‘extended electrochemical potential’’²⁴ μ_i in the phase i defined by

$$\mu_i = E_{C,i} + \mu_i^0 - |e|\varphi_i, \quad (10)$$

for the case shown in Fig. 1(a). $-\varphi_i$ is the electrostatic potential, $-|e|\varphi_i$ the electrostatic potential energy^{16,19} in the phase i . For the cases shown in Figs. 1(b) and 1(c), Eq. (10) holds also for the phase A , whereas for the phase B in Fig. 1(c),

$$\mu_B = E_{V,B} - \mu_B^0 - |e|\varphi_B \quad (11)$$

holds. $E_{C,i}$ and $E_{V,i}$ characterize the band edges of the conduction band and valence band, respectively, in the phase i ; see Fig. 1.

Equations (2) and (3) are a coupled equation system, i.e., coupled by the additional boundary condition

$$\mu_A = \mu_B = \mu, \quad (12)$$

because in the composite there is a *common extended electrochemical potential* μ or, shortly, *electrochemical potential* of the composite. The condition (12) can be realized by an electron redistribution between the phases A and B , which generally depends on concentration, temperature, and pres-

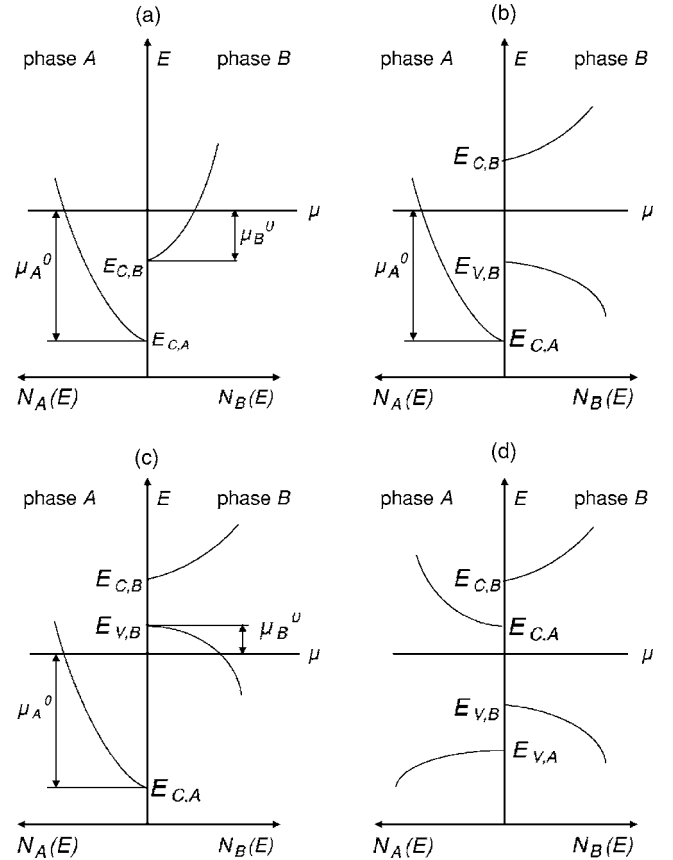


FIG. 1. Schematic band model for *metal-metal* composites (a), *metal-semiconductor* composites (b), (c), and *semiconductor-semiconductor* composites (d): density of states $N_A(E)$ and $N_B(E)$ in dependence on energy E in the two phases A and B .

sure (phase transitions in the phases are not considered).

For determination of the transport coefficients of a composite, we need two physical connections (functions), first,

$$\alpha = \alpha(\alpha_i, v_i) \quad (13)$$

and, second,

$$\alpha_i = \alpha_i(v_i), \quad (14)$$

and corresponding functions for the other transport coefficients [$\sigma = \sigma(\sigma_i, v_i)$, $\sigma_i = \sigma_i(v_i)$, ...] as well. The first function, Eq. (13), will be derived in Sec. II B and applied in Secs. III B–III D to the special cases of composites shown in Figs. 1(a)–1(c). Additional consideration of Eq. (14) allows the calculation of $\alpha(v_i)$ [or $\alpha(x)$] for real composites as a - $\text{Cr}_{1-x}\text{Si}_x$ alloys, which is the subject of Sec. IV. Before deriving the function (13), Eqs. (2) and (3) can be simplified: Replacing \mathbf{E}_i by $\mathbf{E}_i = -\text{grad} \varphi_i$ and introducing σ_i , α_i , and $\kappa_{e,i}$, Eqs. (4)–(6), into Eqs. (2) and (3), we get²⁵

$$J_i = -\sigma_i \text{grad} \varphi_i - \sigma_i \left(\alpha_i - \frac{1}{|e|} \frac{d\mu_i^0}{dT} \right) \text{grad} T, \quad (15)$$

$$J_{Q,i} = -\sigma_i \left(\alpha_i T - \frac{\mu_i^0}{|e|} \right) \text{grad } \varphi_i - \left[\kappa_{e,i} + \sigma_i \left(\alpha_i T - \frac{\mu_i^0}{|e|} \right) \left(\alpha_i - \frac{1}{|e|} \frac{d\mu_i^0}{dT} \right) \right] \text{grad } T. \quad (16)$$

Measurement of the thermopower takes place at $J=0$, where J is the *total* electrical current density. As long as the phase i forms an infinite cluster through the whole specimen ($v_i > 1/3$, spherical phase grains), $J_i=0$ can be assumed as well, and it follows, from Eq. (15),

$$\text{grad } T = - \frac{\text{grad } \varphi_i}{\alpha_i - \frac{1}{|e|} \frac{d\mu_i^0}{dT}} \quad (17)$$

and, introduced in Eq. (16),

$$J_{Q,i} = \mathfrak{Z}_i \text{grad } \varphi_i, \quad (18)$$

with

$$\mathfrak{Z}_i = \frac{\kappa_{e,i}}{\alpha_i - \frac{1}{|e|} \frac{d\mu_i^0}{dT}}. \quad (19)$$

Equations (17)–(19) are valid also for $v_i \leq 1/3$, because, in this case, the other phase serves to show the fact that electric current does not flow through the phase i if the *total* electrical current J is zero—i.e., $J_i=0$ for the complete concentration range $0 \leq v_i \leq 1$, if $J=0$.

Equation (18) is the transport equation which describes the *local* heat current densities in the phases under the conditions realized at thermopower measurements and is the basis for the derivation of the function (13). Equations (18) and (19) and Eq. (12) suggest the fact that α depends not only on α_i and v_i , as stated in Eq. (13), but additionally on $\kappa_{e,i}$, μ_i^0 , and μ —i.e., $\alpha = \alpha(\alpha_i, \kappa_{e,i}, \mu_i^0, \mu, v_i)$.

B. EMT equation for α

Before reading Sec. II B it is helpful to read Sec. IV A of paper II.

The strategy underlying the EMT is the following: a single-phase grain of the phase i is considered to be completely embedded in an *effective* medium consisting of the two phases randomly arranged and characterized by the *total* transport coefficients. At the boundary face between this single-phase grain and the surrounding effective medium continuity of the current densities and potentials and their gradients are to be saved, where in our case considered the additional condition $J=J_i=0$ is to be fulfilled.

In analogy to Eqs. (18) and (19) we write, for the electronic contribution to the *total* heat current density J_Q in the specimen,

$$J_Q = \mathfrak{Z} \text{grad } \varphi, \quad (20)$$

with

$$\mathfrak{Z} = \frac{\kappa_e}{\alpha - \frac{1}{|e|} \left\langle \frac{d\mu_i^0}{dT} \right\rangle}, \quad (21)$$

where κ_e , α , and \mathfrak{Z} represent the transport parameters of the composite. The angular brackets characterize an average. $\langle d\mu_i^0/dT \rangle$ can be calculated by

$$\left\langle \frac{d\mu_i^0}{dT} \right\rangle = \sum_i v_i \frac{d\mu_i^0}{dT}. \quad (22)$$

Now we follow the basic idea of Webman *et al.*² and demand that the *total* heat current density be equal to the average of the *local* heat current density,

$$J_Q = \langle J_{Q,i} \rangle, \quad (23)$$

and the same for the potential

$$\text{grad } \varphi = \langle \text{grad } \varphi_i \rangle. \quad (24)$$

Let us assume a spherical inclusion of the phase i with radius r_0 , embedded in an uniform medium with the transport parameter \mathfrak{Z} given by Eq. (21) and that for the enclosed phase i the *local* transport equation (18) holds. With Eqs. (18)–(24) we get

$$J_Q = \mathfrak{Z} \langle \text{grad } \varphi_i \rangle = \langle \mathfrak{Z}_i \text{grad } \varphi_i \rangle. \quad (25)$$

The local potential φ_i obeys the Laplace equation

$$\Delta \varphi_i = 0, \quad (26)$$

where the boundary conditions

$$\varphi = \varphi_i, \quad (27)$$

$$J_Q^n = J_{Q,i}^n \quad (28)$$

at $r=r_0$ are to be fulfilled, which is equivalent to

$$\mathfrak{Z} \text{grad}_r \varphi = \mathfrak{Z}_i \text{grad}_r \varphi_i \quad (29)$$

at $r=r_0$. grad_r is the gradient into the radial direction. $J_{Q,i}^n$ and J_Q^n are the normal components of the heat current density in the sphere i and the surrounding effective medium, respectively. Solving this boundary value problem we get (see Appendix A)

$$\sum_i v_i \frac{\mathfrak{Z}_i - \mathfrak{Z}}{\mathfrak{Z}_i + 2\mathfrak{Z}} = 0, \quad (30)$$

$$\sum_i v_i = 1. \quad (31)$$

In the case of elastic scattering the Wiedemann-Franz rule holds true and $\kappa_{e,i}$ and κ_e in \mathfrak{Z}_i and \mathfrak{Z} can be substituted by σ_i and σ , respectively, leading to

$$\sum_i v_i \frac{\mathfrak{Z}_i - \mathfrak{Z}}{\mathfrak{Z}_i + 2\mathfrak{Z}} = 0 \quad (32)$$

with

$$\beta_i = \frac{\sigma_i}{\alpha_i - \frac{1}{|e|} \frac{d\mu_i^0}{dT}}, \quad (33)$$

$$\beta = \frac{\sigma}{\alpha - \frac{1}{|e|} \left\langle \frac{d\mu_i^0}{dT} \right\rangle}, \quad (34)$$

if the phases in the composite are metallic phases or degenerated semiconducting phases. Equation (32), resolved for α , provides

$$\alpha(\pm) = \frac{\sigma}{\beta(\pm)} + \frac{1}{|e|} \left\langle \frac{d\mu_i^0}{dT} \right\rangle, \quad (35)$$

$$\beta(\pm) = \frac{1}{4} [\beta_A(3\nu_A - 1) + \beta_B(3\nu_B - 1) \pm \sqrt{[\beta_A(3\nu_A - 1) + \beta_B(3\nu_B - 1)]^2 + 8\beta_A\beta_B}]. \quad (36)$$

$\alpha(\pm)$ in Eq. (35) stand for the two solutions, $\alpha(+)$ and $\alpha(-)$ corresponding to $\beta(+)$ and $\beta(-)$ in Eq. (36).

Equations (19), (21), (33), and (34) hold also for the case that the carriers are holes corresponding to the phase *B* in Fig. 1(c). This follows from the fact that μ_B^0 is measured into the negative direction on the energy scale [Eq. (11)], whereas μ_A^0 is measured into the positive direction on the energy scale [Eq. (10)].

With Eq. (32) and the EMT formula for σ ,²⁶

$$\sum_i \nu_i \frac{\sigma_i - \sigma}{\sigma_i + 2\sigma} = 0, \quad (37)$$

we have now the possibility to calculate σ and α , if σ_i , α_i , and $d\mu_i^0/dT$ are known.

III. APPLICATION OF EQ. (32)

A. General considerations

Before reading Sec. III it is helpful to read Sec. IV B of paper II.

Electron redistribution (electron transfer) to the phase with the deeper average potential can lead to the fact that a constituent, which alone (i.e., not yet alloyed with another constituent) would be a *semiconductor*, becomes a *metallic* phase if it is composed with another constituent forming a composite. Such a situation is realized in $a-N_{1-x}M_x$ and many²⁷ $a-T_{1-x}M_x$ alloys, Fig. 1(c) (see paper II, Secs. II A, III B, and III C therein). Figure 1(b) represents a situation realized in $S_{1-x}M_x$ alloys and cermets^{28–30} (see paper II, Secs. II B and III D therein; N and T stand for a transition metal with completely and incompletely occupied d band, respectively, M for a metalloid element such as Si or Ge, and S for a simple metal such as Al, Ga, In, ...). Examples for Fig. 1(a) are alloys between metallic elements with miscibility gap(s) in the phase diagram [$Au_{1-x}Ni_x$, $Al_{1-x}Cu_x$, ... (Ref. 31)]. An example for Fig. 1(d) is $C_{1-x}Si_x$ with phases C, CSi, and Si.³¹

We are looking for analytical expressions for $d\mu_i^0/dT$ with T and n as variables,

$$\frac{d\mu_i^0}{dT} = \frac{\partial\mu_i^0}{\partial T} + \frac{\partial\mu_i^0}{\partial n} \frac{dn}{dT}, \quad (38)$$

where n is the electron density in the phase *A*. dn/dT is determined by the boundary condition (12),

$$\frac{d\mu_i}{dT} = \frac{d\mu}{dT} = \frac{\partial\mu_A}{\partial T} + \frac{\partial\mu_A}{\partial n} \frac{dn}{dT} = \frac{\partial\mu_B}{\partial T} + \frac{\partial\mu_B}{\partial n} \frac{dn}{dT}, \quad (39)$$

leading to

$$\frac{dn}{dT} = - \frac{\frac{\partial\mu_A}{\partial T} - \frac{\partial\mu_B}{\partial T}}{\frac{\partial\mu_A}{\partial n} - \frac{\partial\mu_B}{\partial n}}. \quad (40)$$

For calculation of the terms $\partial\mu_i^0/\partial T$ and $\partial\mu_i^0/\partial n$ in Eq. (38) and $\partial\mu_i/\partial T$ and $\partial\mu_i/\partial n$ in Eq. (40), the position of μ in relation to the band structures in the phases and the band structures themselves must be known. For simplicity, in the following the NFE approximation will be applied in order to discuss the basic connection between structure and electronic transport properties. Additional assumptions are that the positions of the band edges ($E_{C,i}$, $E_{V,i}$; see Fig. 1) are fixed on the energy scale and the electron redistribution to or away from the d band (in alloys containing transition metals) does not change with a changing temperature, corresponding to the assumption of a constant sum of electrons.

Now, we study the special cases of Figs. 1(a)–1(c), where at least one of the phases is *metallic* (or degenerated); those of Fig. 1(d) will be considered in a separate paper.

B. Special case: Metal-metal composites, Fig. 1(a)

With the assumption of a constant sum of electrons, the electron transfer between the phases can be described by

$$dn = -\zeta_i dn_B, \quad (41)$$

where n_B is the electron density³² in phase *B* and

$$\zeta_i = \nu_B/\nu_A. \quad (42)$$

Using Eqs. (10) and (41), Eq. (40) can be written as

$$\frac{dn}{dT} = - \frac{\frac{\partial\mu_A^0}{\partial T} - \frac{\partial\mu_B^0}{\partial T}}{\frac{\partial\mu_A^0}{\partial n} + \frac{1}{\zeta_i} \frac{\partial\mu_B^0}{\partial n} - 2|e| \frac{\partial\varphi_A}{\partial n}}, \quad (43)$$

where, additionally, $\partial\varphi_i/\partial T=0$ and

$$\frac{1}{\zeta_i} \frac{\partial\varphi_B}{\partial n} = \frac{\partial\varphi_A}{\partial n} \quad (44)$$

are applied. $\partial\mu_i^0/\partial T$ and $\partial\mu_i^0/\partial n_i$ can be calculated by

$$\frac{\partial\mu_i^0}{\partial T} = - \frac{\pi^2 k_B^2 T}{6E_{F,i}}, \quad (45)$$

$$\frac{\partial \mu_i^0}{\partial n_i} = \frac{2 E_{F,i}}{3 n_i} \quad (46)$$

(NFE approximation) following from Eq. (9) and

$$E_{F,i} = \frac{\hbar^2}{8m_i} \left(\frac{3}{\pi} \right)^{2/3} n_i^{2/3}, \quad (47)$$

with $n_A = n$.³² \hbar is Planck's constant. Now, $d\mu_i^0/dT$, Eq. (38), can be calculated by Eqs. (43) and (45)–(47) if the term $\partial\varphi_A/\partial n$ can be neglected (the term $\partial\varphi_A/\partial n$ will be considered in Sec. IV A).

σ_i and α_i can be calculated by Eqs. (4) and (5) solving the transport integrals, Eq. (7), which provide, for $\nu_i > 1/3$,

$$K_{1,i} = \frac{16\pi m_i L_i}{3 h^3} \mu_i^0 \left[1 + r_i (1 + r_i) \frac{\pi^2}{6} \left(\frac{k_B T}{\mu_i^0} \right)^2 \right], \quad (48)$$

$$K_{2,i} = \frac{16\pi m_i L_i}{3 h^3} \mu_i^0 \left[1 + (1 + r_i)(2 + r_i) \frac{\pi^2}{6} \left(\frac{k_B T}{\mu_i^0} \right)^2 \right] \quad (49)$$

(lowest order in the powers of $k_B T / \mu_i^0$), where

$$\tau_i = L_i \left(\frac{m_i}{2E} \right)^{1/2} \quad (50)$$

is applied. r_i characterizes the scattering mechanism and represents the energy dependence of L_i according to

$$L_i = L_0 E^{r_i} \quad (51)$$

(near μ_i); i.e., one scattering process is assumed to dominate and it can be characterized by the energy dependence given by Eq. (51).^{16–19,34}

With Eqs. (48)–(51) and Eqs. (4) and (5) it follows that³⁵

$$\sigma_i = 2 \left(\frac{\pi}{3} \right)^{1/3} \frac{e^2}{h} L_i n_i^{2/3}, \quad (52)$$

$$\alpha_i = \frac{\pi^2 k_B^2 T (1 + r_i)}{3 e_i E_{F,i}}. \quad (53)$$

Let us consider a hypothetical metal-metal composite with $n = 10^{23} \text{ cm}^{-3}$ and $n_B = 10^{22} \text{ cm}^{-3}$, which provide, at $T = 300 \text{ K}$, $\sigma_A = 4238 \Omega^{-1} \text{ cm}^{-1}$, $\alpha_A = -2.8 \mu\text{V/K}$, $\sigma_B = 913 \Omega^{-1} \text{ cm}^{-1}$, and $\alpha_B = -13.0 \mu\text{V/K}$ if Eqs. (47), (52), and (53) (Ref. 33) are applied with $L_i \approx d_i \approx 0.25 \text{ nm}$ (strong scattering; see paper II, Sec. IV B therein), $m_i/m_0 = 1$, and $r_i = 2$ (Conwell-Weisskopf scattering^{16–18}). The $\alpha(\nu_B)$ curve calculated by Eq. (32) corresponding to Eq. (35) is drawn in Fig. 2 (solid line), where σ is calculated by Eq. (37), $\langle d\mu_i^0/dT \rangle$ by Eq. (22), and $d\mu_i^0/dT$ by Eq. (38) [with Eqs. (43) and (45)–(47) and $\partial\varphi_A/\partial n = 0$]. Only the solution $\alpha(-)$ of Eq. (35) is drawn, because it agrees with α_A and α_B for $\nu_B = 0$ and $\nu_B = 1$, respectively, whereas $\alpha(+)$ does not. The second $\alpha(\nu_B)$ curve in Fig. 2 (dotted line) is calculated by

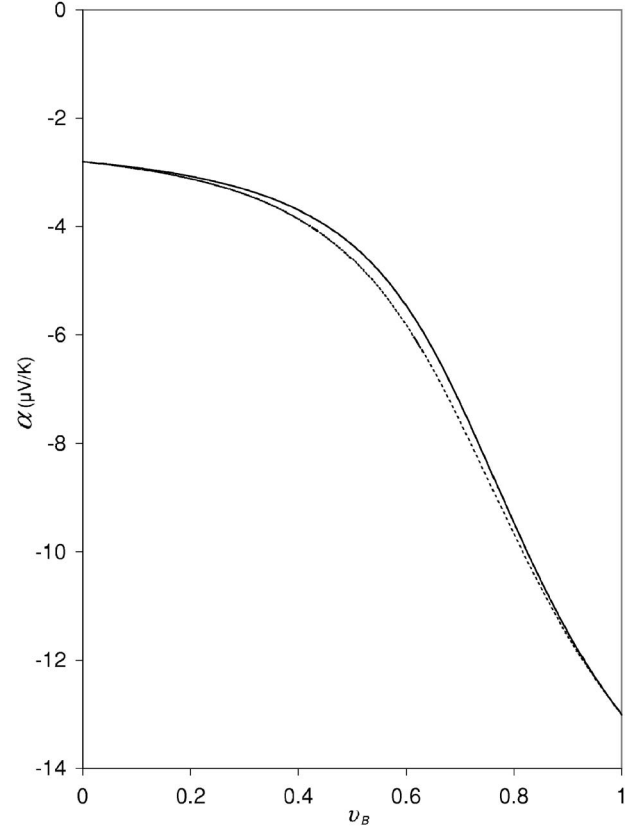


FIG. 2. α vs ν_B calculated by Eq. (32) for a hypothetical metal-metal composite with $\alpha_A = -2.8 \mu\text{V/K}$, $\sigma_A = 4238 \Omega^{-1} \text{ cm}^{-1}$, $\alpha_B = -13.0 \mu\text{V/K}$, and $\sigma_B = 913 \Omega^{-1} \text{ cm}^{-1}$ corresponding to $n = 10^{23} \text{ cm}^{-3}$ and $n_B = 10^{22} \text{ cm}^{-3}$ [NFE approximation (Ref. 33) and $\partial\varphi_A/\partial n = 0$, solid line]. The dotted line is calculated by the approximation formula (54).

$$\sum_i \nu_i \frac{\sigma_i / \alpha_i - \sigma / \alpha}{\sigma_i / \alpha_i + 2\sigma / \alpha} \approx 0, \quad (54)$$

following from Eq. (32) if $d\mu_i^0/dT = 0$ is set. For metal-metal composites (with $e_A = e_B$), Eq. (54) can be a relatively good approximation for Eq. (32) if the difference between n and n_B is not very large and if the term $\partial\varphi_A/\partial n$ can be neglected.

C. Special case: Metal-insulator composites, Fig. 1(b)

For metal-insulator mixtures,

$$N_A(\mu) \gg N_B(\mu) \quad (55)$$

holds, where A characterizes the metallic phase. Because of Eq. (55), the change of electron transfer by temperature can be neglected—i.e., $\partial n / \partial T \approx 0$ —and Eq. (38) simplifies to

$$\frac{d\mu_A^0}{dT} \approx \frac{\partial \mu_A^0}{\partial T} \approx \frac{\partial \mu_A}{\partial T} \approx \frac{\partial \mu_B}{\partial T} \approx \frac{d\mu_B}{dT}, \quad (56)$$

and $d\mu_B^0/dT$ in Eq. (22) can be replaced by $d\mu_B/dT$, leading to

$$\left\langle \frac{d\mu_i^0}{dT} \right\rangle \approx \frac{d\mu_A^0}{dT}. \quad (57)$$

With Eqs. (32)–(34), (56), and (57) and $\sigma_B=0$ it follows that

$$\alpha - \frac{1}{|e|} \frac{d\mu_A^0}{dT} \approx \frac{2\sigma/\sigma_A}{3\nu_A - 1} \quad (58)$$

$$\alpha_A - \frac{1}{|e|} \frac{d\mu_A^0}{dT}$$

and, with Eq. (37),

$$\alpha \approx \alpha_A. \quad (59)$$

The EMT formulas applied for the derivation of Eq. (59) hold for the symmetrical case, where both the *A* and *B* phase form spherical grains. However, in real $S_{1-x}M_x$ alloys and cermets, especially in the metallic range, the phases are not arranged in a symmetrical fashion (*modified physical model*; see paper II, Sec. III D therein). Nevertheless, Eq. (59) is assumed to hold for this asymmetric case as well, because the effect of the different geometric forms of the two phases is the same for Eqs. (32) and (37).

D. Special case: Metal-semiconductor composites (μ cutting the valence band below the energy gap), Fig. 1(c)

With Eqs. (10), (40), (41), and (44) for phase *A* and Eq. (11) for phase *B*, as well as

$$dp = -dn_B, \quad (60)$$

where p is the hole density in the valence band (VB), it follows that

$$\frac{dn}{dT} = - \frac{\frac{\partial\mu_A^0}{\partial T} + \frac{\partial\mu_B^0}{\partial T}}{\frac{\partial\mu_A^0}{\partial n} + \frac{1}{\zeta_v} \frac{\partial\mu_B^0}{\partial p} - 2|e| \frac{\partial\varphi_A}{\partial n}}. \quad (61)$$

As μ lies in the VB [Fig. 1(c)], the electron system in phase *B* is to be considered as degenerated and Eqs. (48)–(51) hold for phase *B* as well, leading to

$$\sigma_B = 2 \left(\frac{\pi}{3} \right)^{1/3} \frac{e^2}{h} L_B p^{2/3}, \quad (62)$$

$$\frac{\partial\mu_B^0}{\partial p} = \frac{2}{3} \frac{E_{F,B}}{p}, \quad (63)$$

$$E_{F,B} = \frac{h^2}{8m_B} \left(\frac{3}{\pi} \right)^{2/3} p^{2/3} \quad (64)$$

(NFE approximation), where α_B is given by Eq. (53) with $e_B=|e|$ and $\partial\mu_B^0/\partial T$ by Eq. (45) with $i=B$. The corresponding equations for phase *A* are given by Eqs. (45)–(47), (52), and (53) with $i=A$. $d\mu_i^0/dT$ can now be calculated by Eqs. (38) and (61) with Eqs. (45)–(47) (phase *A*) and Eqs. (45), (63), and (64) (phase *B*) if the term $\partial\varphi_A/\partial n$ can be neglected and $\langle d\mu_i^0/dT \rangle$ by Eq. (22).

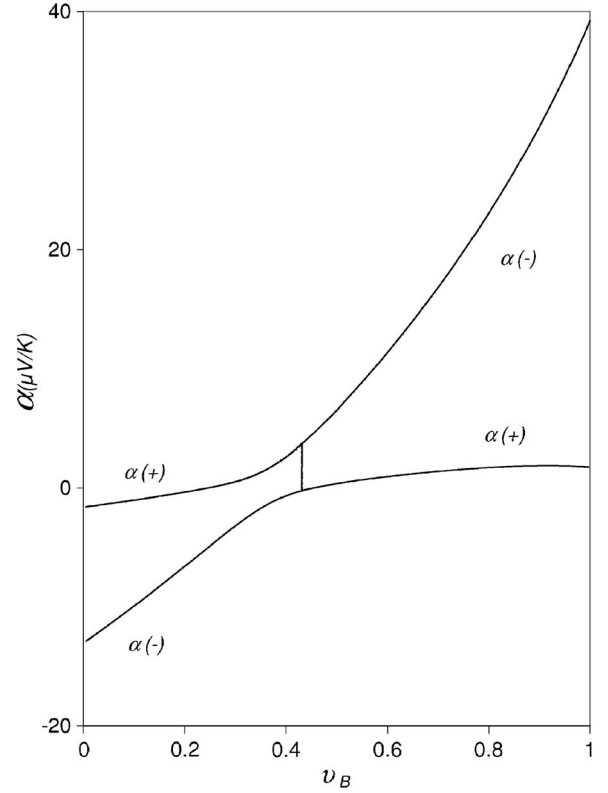


FIG. 3. α vs ν_B calculated by Eq. (32) for a hypothetical composite with electrons and holes in phases *A* and *B*, respectively, where $\alpha_A=-13.0 \mu\text{V/K}$, $\sigma_A=913 \Omega^{-1} \text{cm}^{-1}$, $\alpha_B=+1.75 \mu\text{V/K}$, and $\sigma_B=1357 \Omega^{-1} \text{cm}^{-1}$ corresponding to $n=10^{22} \text{cm}^{-3}$ and $p=2 \times 10^{22} \text{cm}^{-3}$ [NFE approximation (Ref. 33) and $\partial\varphi_A/\partial n=0$].

Let us consider a hypothetical composite with $n=10^{22} \text{cm}^{-3}$ and $p=2 \times 10^{22} \text{cm}^{-3}$, which provide, at $T=300 \text{K}$, $\sigma_A=913 \Omega^{-1} \text{cm}^{-1}$, $\alpha_A=-13.0 \mu\text{V/K}$, $\sigma_B=1357 \Omega^{-1} \text{cm}^{-1}$, and $\alpha_B=+1.75 \mu\text{V/K}$, if Eqs. (47), (52), and (53) for phase *A* and Eqs. (53), (62), and (64) for phase *B* are applied³³ with $L_i \approx d_i \approx 0.25 \text{nm}$, $m_A/m_0=1$, $m_B/m_0=0.2$, and $r_i=2$. In Fig. 3, α vs ν_B is drawn calculated by Eq. (32) corresponding to Eq. (35), where σ is calculated by Eq. (37), $\langle d\mu_i^0/dT \rangle$ by Eq. (22), $d\mu_i^0/dT$ by Eq. (38) with Eq. (61) [and Eqs. (45)–(47) for phase *A*, Eqs. (45), (63), and (64) for phase *B*], and $\partial\varphi_A/\partial n=0$. For $\nu_B \leq 0.45$, $\alpha(-)$ is a physically reasonable solution, whereas for $\nu_B \geq 0.45$, $\alpha(+)$, because $\alpha(-)$ agrees with α_A for $\nu_B=0$, but not for $\nu_B=1$, whereas $\alpha(+)$ agrees with α_B for $\nu_B=1$, but not for $\nu_B=0$.

In the hypothetical examples, Figs. 2 and 3, the change of n_i with T calculated by Eqs. (43) and (61) is very small and practically does not influence n_i , σ_i , and α_i .³³

IV. DISCUSSION

A. Electron-electron interaction

In the *model calculations* of Sec. III, n_i and the transport coefficients σ_i and α_i were still considered to be *independent* of concentration. However, in a real composite there is an electron redistribution between the phases, which depends on the concentration. It was one of the results of paper I that in

amorphous transition-metal-metalloid alloys this electron redistribution can be described by

$$-dn = \beta_v n d\zeta_v, \quad (65)$$

$$n(\zeta_v) = n(0) \exp(-\beta_v \zeta_v), \quad (66)$$

with β_v as a constant for a given composite.³⁶ $n(0) = n_A(0)$ is the electron density in the A phase at $\zeta_v = 0$ ($v_B = 0$). Because of this electron redistribution (electron transfer), α_i , σ_i , and $\kappa_{e,i}$ depend on v_B (or ζ_v) as well.

Electron transfer to the phase with the deeper potential (phase B) leads to an increase of the electrostatic potential φ_B corresponding to an increase of the electron-electron interaction in the phase B . The additional energy contribution $|e|\varphi_B$ could be calculated, on principle, by solution of the Poisson equation,^{20,21,37} which, however, does not appear to be promising because of the special boundary conditions: high density of phase boundaries, smallness of the phase grains (\sim nm), and considerable amounts of structure faults and foreign atoms solved in the phases which are considered to be part of a *complex energy balance* realized during solidification of the alloy. And the electron redistribution described by Eq. (66), as well as the microscopical and electronic structure itself, is *part and the result* of this *complex energy balance*.

As argued in paper I, for a given composite, β_v is determined by the average potential difference between the phases A and B , ΔV , expressed by $\beta_v = \beta_v(\Delta V)$. There is an essential difference between the electron transfer described by Eq. (66) and the electron transfer by change of temperature, dn/dT , Eq. (40): While Eq. (66) is the result of a *complex energy balance* as described, dn/dT is determined under conditions where the microscopical and electronic *structure* is given (constant), and we assume that an additional (small) change of the electron distribution (between the phases) due to temperature change leads to a (small) change of ΔV and, therefore, to a (small) change of β_v as well, because $\beta_v = \beta_v(\Delta V)$. With this assumption for the change of ΔV due to additional (small) electron transfer to the B phase it follows that

$$\frac{\partial(\Delta V)}{\partial n} = -\frac{1}{\zeta_v n (\partial\beta_v/\partial(\Delta V))} \quad (67)$$

if Eq. (66) is used. For the simplest assumption that β_v depends linearly on ΔV corresponding to

$$\beta_v = \frac{1}{c} \Delta V, \quad (68)$$

with $c = \text{constant}$, it follows that

$$\frac{\partial(\Delta V)}{\partial n} = -\frac{\Delta V}{\beta_v \zeta_v n} = -\frac{c}{\zeta_v n}. \quad (69)$$

Equation (69) corresponds with the term $|e|\partial\varphi_A/\partial n$ in Eq. (61), and we get

$$|e| \frac{\partial\varphi_A}{\partial n} = \frac{c}{\zeta_v n}. \quad (70)$$

B. Calculation of $\alpha(x)$ for $a\text{-Cr}_{1-x}\text{Si}_x$ alloys

Although the scattering is *strong*, the NFE approximation (especially the formulas of Sec. III) can be applied to $a\text{-Cr}_{1-x}\text{Si}_x$ alloys, as long as v_B (or ζ_v) is not too small. Justification is given in paper II (Sec. IV B therein).

For the calculations the same physical parameters are applied as in paper II (Sec. III C therein): $\mathcal{N}_A = 7.9 \times 10^{22} \text{ cm}^{-3}$, $\mathcal{N}_B = 5.0 \times 10^{22} \text{ cm}^{-3}$, $d_A = 0.250 \text{ nm}$, $d_B = 0.234 \text{ nm}$, $D_A = 1.7 \text{ nm}$, $\beta_v = 0.5$, $x_A = 0.25$, $x_B = 0.90$, $Z_{\text{Cr}} = 0.5$, and $Z_{\text{Si}} = 4$, where \mathcal{N}_i , d_i , D_i , and x_i are the atomic densities, the atomic distances, the average diameters of the phase grains, and the Si-atomic concentrations, respectively, in phase i . Z_{Cr} and Z_{Si} are the valences of Cr and Si, respectively, which are assumed to be independent of concentration. Additionally, $m_A/m_0 = 1$ and $m_B/m_0 = 0.2$, $r_i = 2$ (Conwell-Weisskopf scattering¹⁶⁻¹⁸) and $D_B = 1 \text{ nm}$ are set.

We calculate the concentration dependence of n by Eq. (66), where $n(0) = 10.8 \times 10^{22} \text{ cm}^{-3}$ calculated by

$$n(0) = \mathcal{N}_A [(1 - x_A) Z_{\text{Cr}} + x_A Z_{\text{Si}}]. \quad (71)$$

In Fig. 4(a), the calculated n is drawn versus v_B . For calculation of $p(v_B)$ we apply the *physical model* proposed in paper II (Secs. III B and III C therein), where

$$p = p_0 - n_B(0) - \Delta n - p_{\text{loc}}, \quad (72)$$

$$n_B(0) = \mathcal{N}_B [(1 - x_B) Z_{\text{Cr}} + x_B Z_{\text{Si}}], \quad (73)$$

$$\Delta n(v_B) = \frac{n(0) - n(v_B)}{\zeta_v}, \quad (74)$$

$$p_0 = 4 \mathcal{N}_B x_B \left(1 + \frac{X_{B^*}}{X_B} \right). \quad (75)$$

X_{B^*} is the atomic fraction of the phase boundary face atoms in the B phase. X_i are the *atomic* fractions of the phases connected with the *volume* fractions by

$$\frac{X_B}{X_A} = \frac{\mathcal{N}_B v_B}{\mathcal{N}_A v_A}. \quad (76)$$

$n(0) [= n_A(0)]$ and $n_B(0)$ are the electron densities in phases A and B at $x = x_A$ and $x = x_B$ corresponding to $v_B = 0$ and $v_A = 0$, respectively,³² where x and v_i are connected by

$$x = \frac{x_A \mathcal{N}_A v_A + x_B \mathcal{N}_B v_B}{\mathcal{N}_A v_A + \mathcal{N}_B v_B}, \quad (77)$$

with $v_B = 1 - v_A$, Eq. (31).

For p_{loc} , representing the *loss* of density of states in the VB due to structure defects, $p_{\text{loc}} \ll p$ is assumed. Assuming spherical phase grains and \mathcal{N}_B to be uniform in the whole B phase, then

$$X_{B^*}/X_B = v_{B^*}/v_B, \quad (78)$$

with

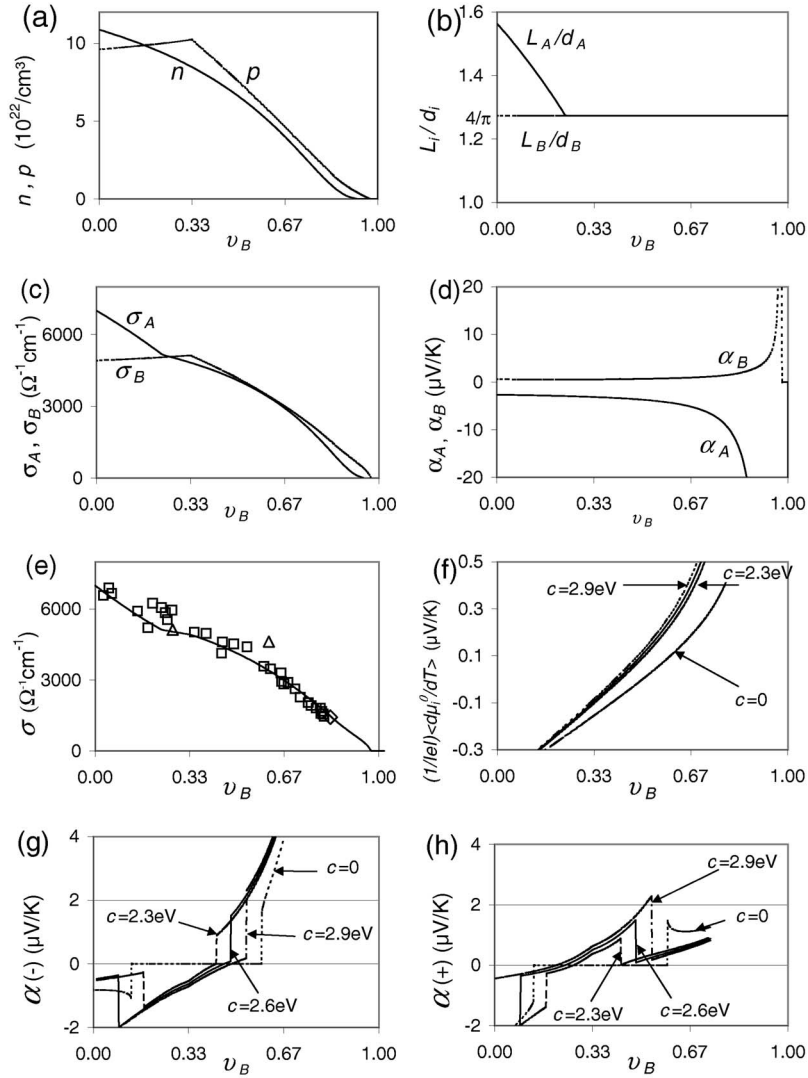


FIG. 4. Calculated electronic parameters for $a\text{-Cr}_{1-x}\text{Si}_x$ vs ν_B at 300 K and comparison with experimental data (σ) taken from Helms *et al.* (Ref. 38) (cosputtered, squares), Weser (Ref. 39) (sputtered, triangles), and Gladun *et al.* (Ref. 40) (sputtered, rhombus). c is a parameter characterizing the electrostatic contribution to $d\mu/dT$, which is varied: $c=2.3$ eV, 2.6 eV (solid line), 2.9 eV and $c=0$ (dotted line).

$$\frac{\nu_B^*}{\nu_B} = \left[1 - \left(1 - \frac{2d_B}{D_B} \right)^3 \right] \quad (\nu_B < 1/3) \quad (79)$$

and

$$\frac{\nu_B^*}{\nu_B} = \frac{1}{\zeta_\nu} \left[\left(1 + \frac{2d_B}{D_A} \right)^3 - 1 \right] \quad (\nu_B > \nu_{B,k}), \quad (80)$$

where $\nu_{B,k}=0.839$ calculated by

$$\nu_{B,k} = 1 - \frac{1}{3} \left(1 + \frac{2d_B}{D_A} \right)^{-3} \quad (81)$$

(for details see paper II, Sec. II A therein). In the concentration range of $1/3 \leq \nu_B \leq \nu_{B,k}$, X_{B^*}/X_B is linearly interpolated (relating to X_B). The concentration dependence of p vs ν_B calculated by Eqs. (72)–(81) is drawn in Fig. 4(a) as well.

With $n(0)$ calculated earlier and the experimental value for $\sigma(0)=\sigma(x=0.25)=7000 \Omega^{-1} \text{cm}^{-1}$ (taken from Ref. 38), $L_A(0)=0.39 \text{ nm}$ calculated by

$$L_A(0) = \frac{1}{2} \left(\frac{3}{\pi} \right)^{1/3} \frac{h}{e^2} \frac{\sigma(0)}{n(0)^{2/3}} \quad (82)$$

following from Eq. (52). $L_A(\nu_B)$, drawn in Fig. 4(b), is calculated by

$$L_A(\nu_B) = L_A(0) \left(\frac{n(\nu_B)}{n(0)} \right)^{2r_A/3} \quad (\text{for } L_A \geq L_{A,\min}); \quad (83)$$

otherwise, $L_A=L_{A,\min}$, where

$$L_{i,\min} = \frac{4}{\pi} d_i \quad (84)$$

(Ref. 16, p. 348). [Equation (83) follows from Eqs. (47), (51), and (52).] For the holes in the B phase $L_B(\nu_B)=L_{B,\min}$. Eq. (84), is assumed for the complete concentration range.

With the calculated $n(\nu_B)$ and $p(\nu_B)$, now $\sigma_A(\nu_B)$ is calculated by Eq. (52) and $\sigma_B(\nu_B)$ by Eq. (62), $\alpha_i(\nu_B)$ by Eq. (53) with Eq. (47) (phase A) and Eq. (64) (phase B), σ and α by Eqs. (32) and (37), $d\mu_i^0/dT$ by Eqs. (38) and (61) with Eqs. (45)–(47) (phase A) and Eqs. (45), (63), and (64) (phase B), $\langle d\mu_i^0/dT \rangle$ by Eq. (22), and $\partial\varphi_A/\partial n$ by Eq. (70), where c is

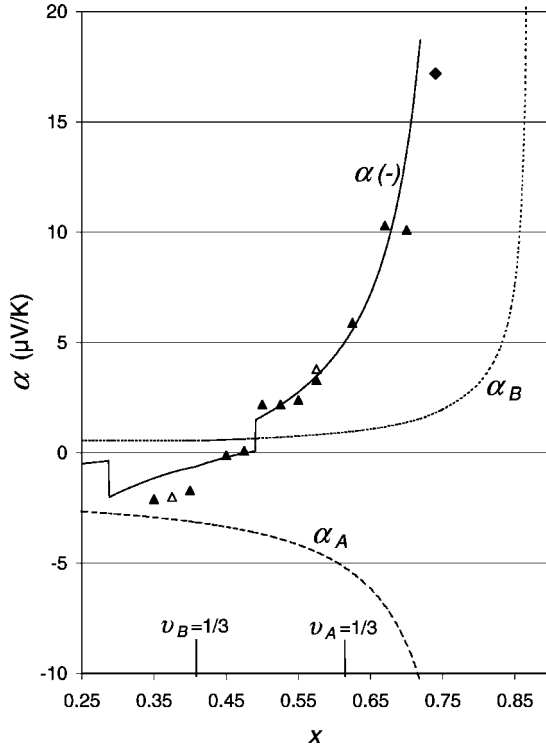


FIG. 5. Calculated thermopower for $a\text{-Cr}_{1-x}\text{Si}_x$ corresponding to Figs. 4(d) and 4(g) with $c=2.6$ eV, but redrawn vs x , and a comparison with experimental data taken from Gladun *et al.* (Ref. 40) (rhombus), Weser (Ref. 39) (open triangles), and Sonntag (Ref. 41) (solid triangles).

used as a parameter with $c=2.3$, 2.6 , and 2.9 eV and $c=0$. σ , $1/|e|\langle d\mu_i^0/dT \rangle$, $\alpha(+)$, and $\alpha(-)$ [the two solutions of Eq. (35)] are drawn in Figs. 4(e)–4(h). [For $c=0$, Eq. (35) does not have a solution for $0.134 < \nu_B < 0.589$; see Figs. 4(g) and 4(h).] Additionally, in Fig. 4(e), experimental σ data of sputtered $a\text{-Cr}_{1-x}\text{Si}_x$ thin films are drawn taken from Helms *et al.*,³⁸ Weser,³⁹ and Gladun *et al.*,⁴⁰ where ν_B is calculated by Eqs. (31) and (77).

The $\alpha(-)$ curve of Fig. 4(g) for $c=2.6$ eV as well as α_A and α_B of Fig. 4(d) is drawn once more in Fig. 5 vs x commonly with experimental data for α taken from Gladun *et al.*,⁴⁰ Weser,³⁹ and Sonntag⁴¹ (sputtered from alloy targets), where Eq. (77) is used. The experimental α data are described relatively well by the theoretical $\alpha(-)$ curve in Fig. 5 (excepting $x \leq 0.41$ corresponding to $\nu_B \leq 1/3$) and even the *sign* change of $\alpha(-)$ at $x=0.48$ (corresponding to $\nu_B=0.46$) and the drastic increase of $\alpha(x)$ with increasing x . This is especially remarkable, although, for $x > 0.49$, α_B remains *essentially smaller* than $\alpha(-)$ itself and α_A is even *negative* in the complete concentration range considered. Above the *sign* change of $\alpha(-)$ there is a discontinuity in the $\alpha(x)$ curve, and the question arises whether or not this discontinuity calculated can be confirmed experimentally by independent authors. This discontinuity calculated does also occur if some of the physical parameters applied are changed within the scope of physically reasonable values.

We consider the relatively good correspondence between the calculated and experimental α and σ data, Figs. 5 and

4(e), respectively, as support for the *alternative concept* of papers I and II. Nevertheless, the calculations described are to be considered as temporary example calculations because of the simplifying approximations, where the most problematic one is the assumption that the energies of the band edges do not depend on temperature and that the electron redistribution to other electronic bands (for instance, the d band in alloys containing transition metals) does not change with changing temperature. Moreover, for calculation of p , Eqs. (72)–(81), X_{B^*}/X_B is only linearly interpolated between $\nu_B = 1/3$ and $\nu_{B,k}$, where specific features of the boundary faces are not considered and the phase grains are assumed to be spherical. By additional measurement of R_H there would be the possibility to determine $p(x)$ independently. Such additional R_H data also would enable an independent determination of $n(x)$, and Eq. (66) could be checked directly as well as the validity of the physical model applied. In this context, additional precise experimental data of x_A , x_B , N_A , N_B , D_A , D_B vs x —e.g., determined according to the examples of Refs. 42–44—would be useful.

Above $\nu_B \approx 0.80$ (corresponding to $x \approx 0.72$), $\alpha(+)$, $\alpha(-)$, and $(1/|e|)\langle d\mu_i^0/dT \rangle$ are drawn only partially in Figs. 4 and 5 because there are considerable discontinuities and fluctuations. Notice that outside of the concentration range $1/3 < \nu_B < 2/3$ (corresponding to the condition $\nu_i > 1/3$) the assumptions for the calculations become worse. $L_i/d_i(\nu_B)$ calculated by Eqs. (82)–(84) as well as the equations for σ_i , α_i , $\partial\mu_i^0/\partial T$, and $\partial\mu_i^0/\partial n_i$ applied, only have a physical content if both $\nu_i > 1/3$ (spherical phase grains) and $k_{F,i}L_i > c^*$, Eq. (1). The latter condition corresponds to $\sigma_i > \sigma_{min,i}$ (at $T=0$) with

$$\sigma_{min,i} = \frac{c^{*2}}{6} \left(\frac{e^2}{h} \right) \frac{1}{d_i} \quad (85)$$

following from Eq. (B3), if $L_i=L_{i,min}$, Eq. (84), is set (see Appendix B). For the phase B it follows from Eq. (85) with $d_B=0.234$ nm, $\sigma_{min,B}=17 \Omega^{-1} \text{cm}^{-1}$; for phase A such a consideration is not necessary, because the first condition $\nu_i > 1/3$ is violated for phase A , already, before σ_A becomes small; see Fig. 4(c). For phase B , the condition $\sigma_B > \sigma_{min,B}$ is violated above $\nu_B=\nu_{B,c}=0.97$ corresponding to $x=x_c=0.87$; this is the concentration of the metal-insulator transition—i.e., $\sigma_B=0$ for $T=0$ (see Sec. III C of paper II). Because of $\sigma_B=0$, $\sigma=0$ (at $T=0$) as well, since $\nu_A < 1/3$ for $\nu_B \geq \nu_{B,c}$ (see Sec. III A of paper II). Although the consequences described refer especially to the situation at $T=0$, they have basic meaning, because Eqs. (45)–(47), (52), (53), and (62)–(64) applied are constraint basically to the *metallic* regime; for $T > 0$, transport contributions due to *activation* processes of carriers are superimposed to the “*metallic* transport.” The effect of these *activation* processes on the electronic transport properties (not considered in the present paper) plays a role also for $\nu_B < \nu_{B,c}$, but it is larger for the larger ν_B . For $\nu_i < 1/3$, *tunnelling* of electrons through the minor phase is to be taken into account.

V. SUMMARY

Applying EMT and the BTE, a formula is derived for calculation of the Seebeck coefficient of composites,

$$\sum_i v_i \frac{\mathfrak{z}_i - \mathfrak{z}}{\mathfrak{z}_i + 2\mathfrak{z}} = 0, \quad (86)$$

which can be transformed to

$$\sum_i v_i \frac{\mathfrak{z}_i - \mathfrak{z}}{\mathfrak{z}_i + 2\mathfrak{z}} = 0 \quad (87)$$

if the phases are *metallic* phases or *degenerated* semiconducting phases and if the scattering is elastic. The term $d\mu_i^0/dT$ in \mathfrak{z}_i , \mathfrak{z} , \mathfrak{z}_i , and \mathfrak{z} , defined by Eqs. (19), (21), (33), and (34), depends also on the electrochemical potential μ and its change with temperature, $d\mu/dT$. $d\mu/dT$ is essentially determined by the electronic structure, the carrier densities, and electron-electron-interaction in the phases.

Equations (86) and (87) can also be applied to composites with *strong scattering*.

For *metal-metal* composites (with $e_A=e_B$) and *metal-insulator* composites, Eq. (87) can be approximated by

$$\sum_i v_i \frac{\sigma_i/\alpha_i - \sigma/\alpha}{\sigma_i/\alpha_i + 2\sigma/\alpha} \approx 0 \quad (88)$$

and

$$\alpha \approx \alpha_A, \quad (89)$$

respectively.

In Sec. III, Eq. (87) is applied for calculation of the concentration dependence $\alpha(v_B)$ for several special cases of composites with the following simplistic assumptions: (a) positions of the *band edges* are fixed on energy scala, (b) sum of electrons in the considered bands is constant, (c) NFE approximation, (d) spheric phase grains, (e) *carrier densities* do not depend on concentration, and (f) neglect of the *electron-electron interaction*.

In Sec. IV the concentration dependence $\alpha(x)$ is calculated for $a\text{-Cr}_{1-x}\text{Si}_x$ alloys, where both the concentration dependence of the *carrier densities* and the *electron-electron-interaction* are taken into account; i.e., assumptions (e) and (f) are abolished. The NFE approximation (c) is kept, because it is a relatively good approximation for $a\text{-N}_{1-x}\text{M}_x$ and many²⁷ $a\text{-T}_{1-x}\text{M}_x$ alloys (for not too small v_B) as justified in Sec. IV B of paper II.

The equations for σ_i , α_i , $\partial\mu_i^0/\partial T$, and $\partial\mu_i^0/\partial n_i$, applied for the calculations, only have a physical content if both $v_i > 1/3$ (spherical phase grains) and $k_{F,i}L_i > c^*$, Eq. (1), corresponding to $\sigma_i > \sigma_{\min,i}$, Eq. (85) (at $T=0$). If the condition $v_i > 1/3$ is not fulfilled, *tunneling* of the electrons through the minor phase is to be taken into account. If Eq. (1) is not fulfilled, *metallic* conductivity is absent.

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APPENDIX A: DERIVATION OF THE EMT EQUATION FOR α

Equation (26) has the solution²

$$\varphi_i = \varphi_0 + ra_i \cos \vartheta \quad (A1)$$

within the sphere i and

$$\varphi = \varphi_0 + (br + cr_0^3/r^2) \cos \vartheta \quad (A2)$$

within the effective medium. ϑ is the angle between the direction of \mathbf{E} and the position vector \mathbf{r} with $|\mathbf{r}|=r$. a_i , b , c , and φ_0 are constants. With

$$\text{grad}_r \varphi_i = a_i \cos \vartheta \quad (A3)$$

following from Eq. (A1), Eq. (25) can be written as

$$\mathfrak{z}\langle a_i \rangle = \langle a_i \mathfrak{z}_i \rangle. \quad (A4)$$

With the boundary condition, Eq. (27), it follows that

$$a_i = b + c, \quad (A5)$$

and with Eq. (29) and Eqs. (A1) and (A2) for $r=r_0$,

$$\mathfrak{z}(b - 2c) = \mathfrak{z}_i a_i. \quad (A6)$$

Equations (A5) and (A6) resolved for a_i providing

$$a_i = \frac{3b\mathfrak{z}}{\mathfrak{z}_i + 2\mathfrak{z}} \quad (A7)$$

and introduced in Eq. (A4) provide

$$\mathfrak{z} \left\langle \frac{1}{\mathfrak{z}_i + 2\mathfrak{z}} \right\rangle = \left\langle \frac{\mathfrak{z}_i}{\mathfrak{z}_i + 2\mathfrak{z}} \right\rangle \quad (A8)$$

in correspondence with Eq. (30).

APPENDIX B: MINIMUM METALLIC CONDUCTIVITY

Equation (85) follows from the BTE formula for σ_i ,

$$\sigma_i = \frac{S_{F,i} e^2 L_i}{6\pi^2 \hbar}, \quad (B1)$$

if $S_{F,i}$, the Fermi surface related to the phase i , is replaced by

$$S_{F,i} = 4\pi k_{F,i}^2 \quad (B2)$$

(*spherical* Fermi surface, $v_i > 1/3$) and $k_{F,i}$ by $k_{F,i} = c^*/L_i$ [the lower boundary for $k_{F,i}$, Eq. (1)] leading to

$$\sigma_{min,i} = \frac{2c^{*2}}{3\pi} \left(\frac{e^2}{h} \right) \frac{1}{L_i}. \quad (\text{B3})$$

$L_i = L_{i,min}$, Eq. (84), introduced in Eq. (B3), provides Eq. (85). Justification for the assumption of *spherical* Fermi surfaces, Eq. (B2), follows from the fact that, for small σ_i [corresponding to small n (or p)], $k_{F,i}$ is small and sufficiently distant to the first Brillouin zone boundary in the phase i .

Unfortunately, in the original formula for the minimum metallic conductivity derived in Sec. IV C of paper II there is an error: in Eqs. (45) and (47) of paper II “ $c^*/6$ ” is to be replaced by “ $2c^*/3\pi$,” i.e., Eqs. (45) and (47) of paper II read in correct form

$$\sigma_{min} = \frac{2c^{*2}}{3\pi} \left(\frac{e^2}{h} \right) \frac{1}{L} \quad (\text{B4})$$

and

$$\sigma_{min} \approx \frac{2c^{*2}}{3\pi} \left(\frac{e^2}{h} \right) \frac{1}{d} \approx 20 \Omega^{-1} \text{ cm}^{-1}, \quad (\text{B5})$$

respectively. Equation (B5) follows from Eq. (B4) if $L \approx d \approx 0.25 \text{ nm}$ is set (see Sec. IV C of paper II).

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²⁴In the kinetic theory for *homogeneous* materials the term “ $\mu_i^0 - |e_i|\varphi_i$ ” is generally called electrochemical potential; to avoid confusion, we call μ_i *extended* electrochemical potential, since the energy of the band edge is added. Note that μ_i characterize energy levels on the energy scale, whereas μ_i^0 are energies defined by the Fermi-Dirac statistics.

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